

## **BROKER APPLICATION**

### **Company / Broker Information**

PB Financial Account  
Representative: \_\_\_\_\_

Business Name: \_\_\_\_\_

Doing Business AS (DBA): \_\_\_\_\_

Street Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Please circle one:

Broker Name(s): \_\_\_\_\_ Sole Proprietor

Broker Social Security No.(s): \_\_\_\_\_ Partnership

Business Start Date: \_\_\_\_\_ Corporation

Email Address: \_\_\_\_\_ LLC

### **Corporate Filing Information**

Date Incorporated: \_\_\_\_\_ County: \_\_\_\_\_ State: \_\_\_\_\_

Federal I.D. No: \_\_\_\_\_ If no Federal I.D. No., Social Security No.: \_\_\_\_\_

### **License / Approval**

Broker name license was issued to: \_\_\_\_\_

License #: \_\_\_\_\_ Date issued: \_\_\_\_\_ Expiration Date: \_\_\_\_\_

Corporate NMLS # \_\_\_\_\_ Broker NMLS # \_\_\_\_\_

Broker's Home Address: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_

If licensed or approved by any of the following please complete information required.

<input type="checkbox"/> FHA / HUD No. _____	<input type="checkbox"/> Fannie Mae No. _____
<input type="checkbox"/> Freddie Mac No. _____	<input type="checkbox"/> Veterans Affairs No. _____

### **Principals**

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Social Security No. \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residence Address: \_\_\_\_\_

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Social Security No. \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residence Address: \_\_\_\_\_

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Social Security No. \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residence Address: \_\_\_\_\_

## LENDER REFERENCES

(If additional Lender references are to be submitted, please use a separate page)

Lender Name: \_\_\_\_\_ Telephone: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

Lender Name: \_\_\_\_\_ Telephone: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

Lender Name: \_\_\_\_\_ Telephone: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Title: \_\_\_\_\_

## DISCLOSURE / DUE DILIGENCE

1. Has your company ever been suspended from selling or servicing mortgages by an investor? If yes, please provide explanation: \_\_\_\_\_.
2. Has your company, and/or principals or corporate officers, been named a defendant in a lawsuit for alleged fraud or misrepresentation in connection with any real estate related activity? If yes, please provide explanation: \_\_\_\_\_.
3. Has your company, and/or principals or corporate officers, been named as defendant in a criminal proceedings/complaint/conviction for alleged fraud or misrepresentation in connection with any real estate related activity? If yes, please provide explanation: \_\_\_\_\_.
4. Has your company, and/or principals or corporate officers, ever had a real estate or other professional license suspended, revoked or received any other disciplinary action from a regulatory agency? If yes, please provide explanation: \_\_\_\_\_.

For the purpose of inducing lenders to provide financing for customers of the under-signed, I (we) certify the above information to be true and correct. The undersigned declares that the foregoing information and all accompanying information are true to the best of his/her knowledge and belief.